

Analysis of Funds Collected and Expenditure incurred by Political Parties During elections between 2004 and 2015

A Report by

Association for Democratic Reforms

T-95A, C.L.House, I floor, Gautam Nagar,

New Delhi – 110 049

Tel: 011-4165 4200; Email: adr@adrindia.org

Table of Contents

Detailed report.....	3
Introduction	3
Total number of elections held between 2004 and 2015.....	3
Number of elections vs. number of contesting parties	3
Total funds collected by Political Parties: Lok Sabha elections.....	5
Total expenditure incurred by Political Parties: Lok Sabha elections.....	6
Total funds collected by Political Parties: Assembly elections.....	7
Total expenditure incurred by Political Parties: Assembly elections	8
Top 5 regional parties which collected maximum funds and incurred maximum expenditure during Lok Sabha elections.....	9
Top 5 regional parties which collected maximum funds and incurred maximum expenditure during Assembly elections.....	10
Details of parties defaulting in submission of expenditure statements – 2011 to 2015.....	11
Observations & Recommendations of ADR	11
Contact Details	12
Annexure - 1.....	13
Party name and corresponding code	13
Annexure - 2.....	15
States in which Assembly elections were held: Year-wise	15
Annexure – 3(a).....	16
Details of elections contested by National Parties: Year-wise.....	16
Annexure – 3(b).....	16
Details of elections contested by Regional Parties: Year-wise	16
Annexure - 4.....	19
Total funds collected and expenditure incurred by Regional Parties during Lok Sabha elections – Top 5	19
Annexure - 5.....	20
Total funds collected and expenditure incurred by Regional Parties during Assembly elections – Top 5	20
Annexure - 6.....	21
List of contesting recognised parties and their status of submission of expenditure reports	21

Detailed report

Introduction

- Political parties are required to submit a statement of election expenditure to the Election Commission within 75 days of Assembly elections and 90 days of completion of General Elections.
- The expenditure statement contains details of the **total amount received as funds in the form of cash, cheques and demand drafts and the total amount spent in cash and cheques apart from the amount still remaining unpaid.**
- Political parties submit these statements providing information of **funds collected and spent between announcement and completion of elections.** This period could be between 3 weeks and 3 months based on the notification issued by the Election Commission.

The focus of this report is on the **funds collected by all the recognized parties** (National Parties and Regional Parties) and **expenditure incurred by them** during various **Assembly and Lok Sabha elections** held between 2004 and 2015. The complete list of political parties and their corresponding party codes is available in **Annexure – 1.**

Total number of elections held between 2004 and 2015

- Between January 2004 and December 2015, there were a total of **71 Assembly Elections** and **3 Lok Sabha Elections.**
- The **maximum number of Assembly elections** were held in 2008 when 10 states (Madhya Pradesh, Delhi, Karnataka, Meghalaya, Nagaland, Tripura, Rajasthan, J & K, Chhattisgarh and Mizoram) went for polls while **least number of Assembly elections** were held in 2010 when only Bihar went for polls.
- It is to be noted that the report analyses all the Assembly and Lok Sabha elections held between 2004 and 2015 but not the bye-elections.
- For complete list of year-wise elections, please refer to **Annexure – 2.**

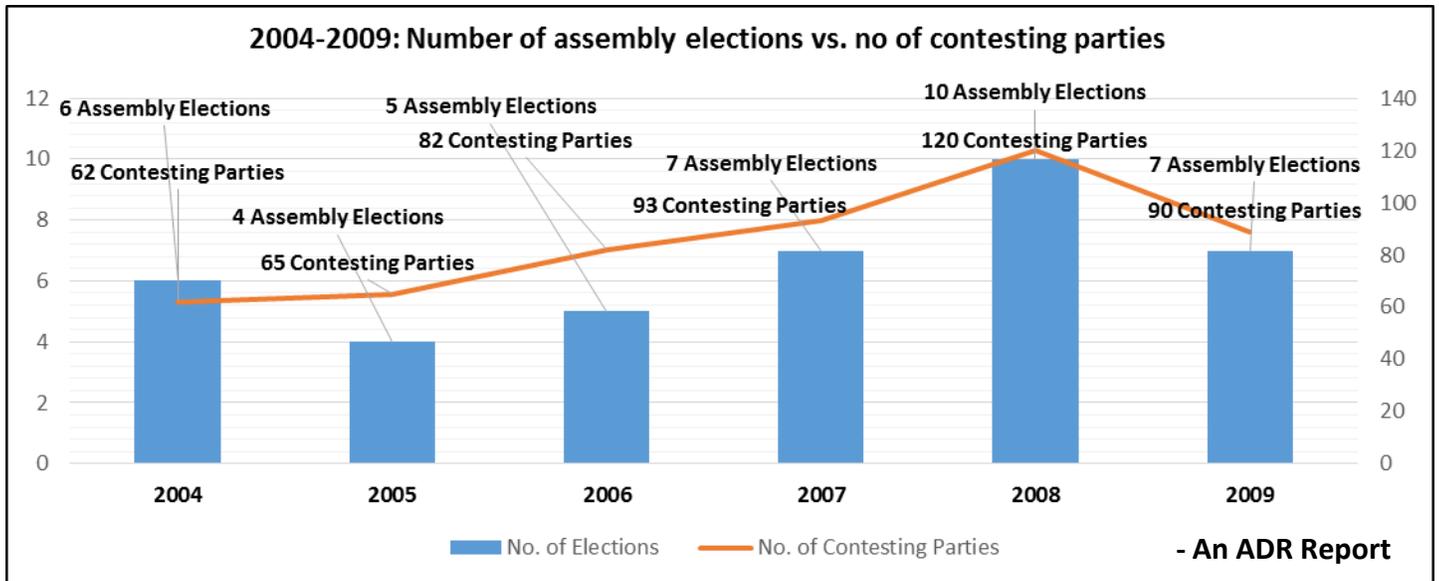
Number of elections vs. number of contesting parties

- Except in 2004, it can be noticed that **between 2005 and 2015, the number of contesting parties increased with the total number of Assembly elections** held during that period. While 65 parties contested in 4 Assembly elections held in 2005, it increased to 82 parties in 5 Assembly elections held in 2006. **Maximum number of 120 parties** contested in the **10 Assembly elections** held in **2008.**
- The number of National and Regional Parties which contested in the **Lok Sabha elections** held in **2004** was **42** while during **2009 Lok Sabha elections**, it was **41** parties and in **2014 Lok Sabha elections**, it increased to **45 National and Regional parties.**
- For complete list of elections contested by National and Regional Parties, please refer to **Annexures – 3(a) and 3(b).**

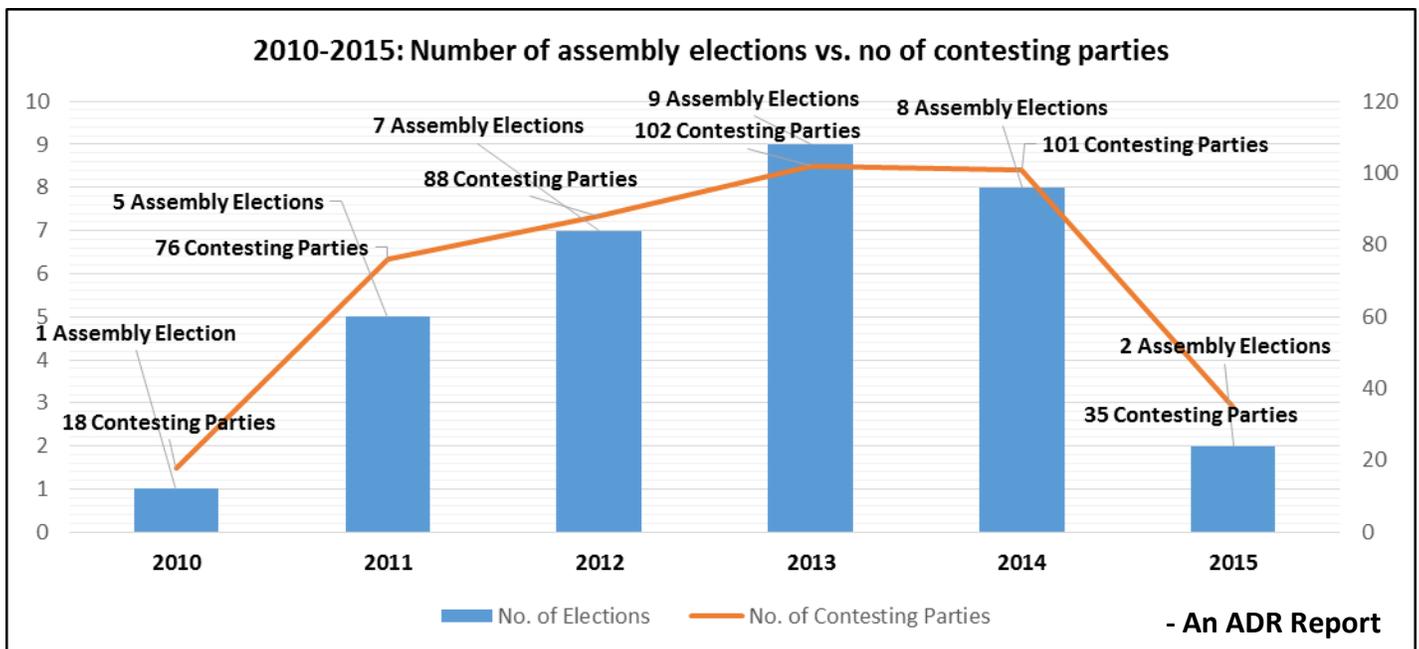
Elections Vs. Parties	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	
Assembly Elections	6	4	5	7	10	7	1	5	7	9	8	2	
Contesting Parties	62	65	82	93	120	90	18	76	88	102	101	35	
Lok Sabha Elections	1						1						1
Contesting Parties	42						41						45

Table: Number of Assembly elections vs. number of contesting parties, 2004- 2015

The graphs below have been divided into two, of six year periods each, to show the total number of Assembly elections held and the corresponding number of political parties which contested in the elections.



Graph: 2004-2009: Number of assembly elections vs. no of contesting parties

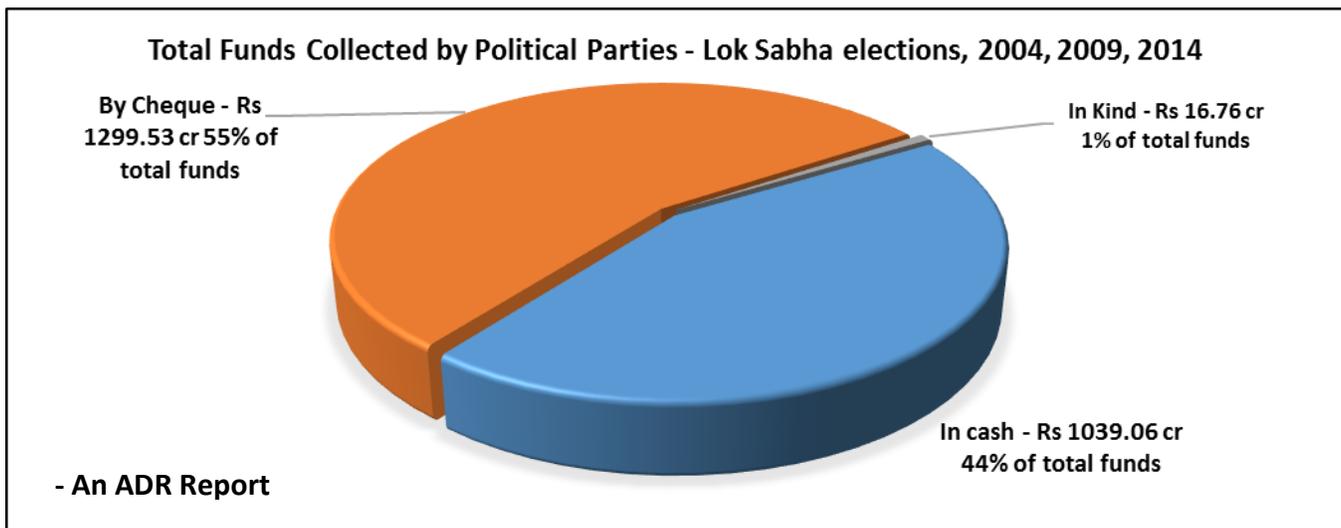


Graph: 2010-2015: Number of Assembly elections vs. number of contesting parties

Total funds collected by Political Parties: Lok Sabha elections

- According to the proforma issued by the Election Commission of India to political parties for submission of their election expenditure statements, funds received between the date of announcements of elections and completion of elections have to be disclosed in cash, cheques/DD, in kind (value of which has to be specified).
- During **2004 Lok Sabha elections**, 38 political parties have declared **Rs 253.46 crores as funds collected** during the election period of which **Rs 88.63 crores was collected by cash** (35% of total funds) and **Rs 149.16 crores was collected by cheque** (59% of total funds).
- Similarly, during **2009 Lok Sabha elections**, 34 parties **collected a total of Rs 638.26 crores** of which **Rs 444.20 crores was by cash** (70% of total funds) and only **Rs 194.06 crores was by cheque** (30% of total funds).
- 45 political parties had declared a total of **Rs 1463.63 crores** as funds collected during **2014 Lok Sabha elections** of which **Rs 506.24 crores was by cash** (35% of total funds) and **Rs 956.31 crores** was by cheque (65% of total funds).
- Collectively, during the Lok Sabha elections held in 2004, 2009 and 2014, political parties declared collecting a total of **Rs 2355.35 crores** of which **44% or Rs 1039.06 crores** was by cash and **55% or Rs 1299.53 crores** was by cheque.

Elections	Total number of political parties	Total funds collected by political parties during Lok Sabha Elections, 2004, 2009, 2014 Rs in crores			
		Cash	Cheque	In Kind	Total funds
LS- 2004	38	88.63	149.16	15.67	253.46
LS- 2009	34	444.20	194.06	0.00	638.26
LS- 2014	45	506.24	956.31	1.09	1463.63
Total	117	Rs 1039.06 cr	Rs 1299.53 cr	Rs 16.76 cr	Rs 2355.35 cr

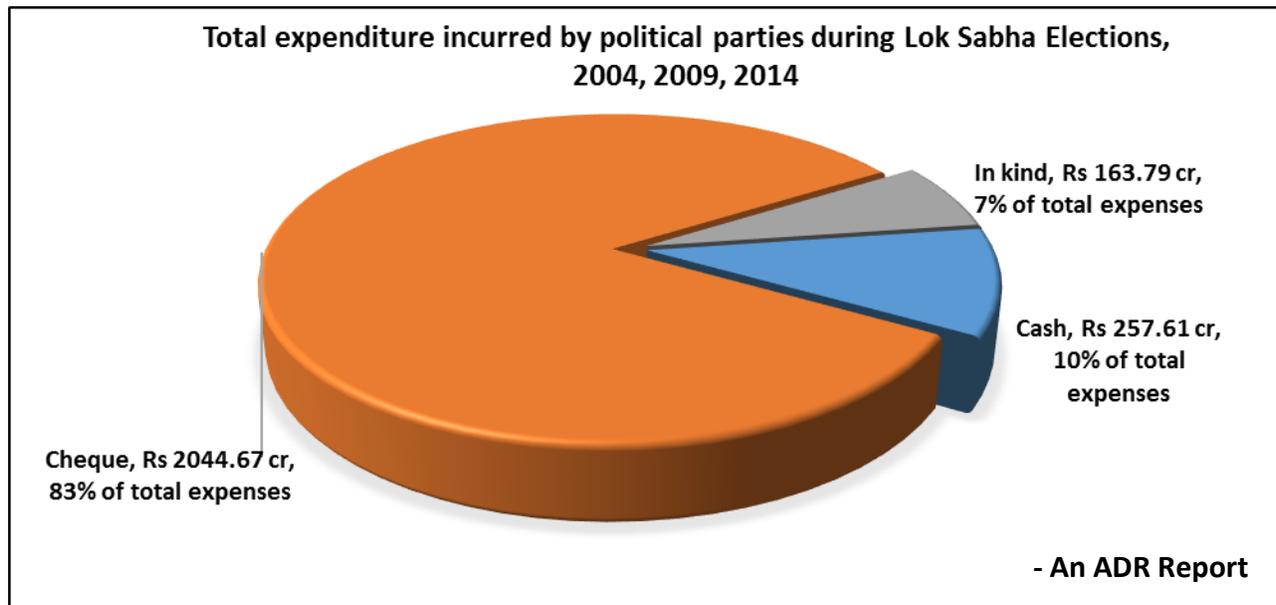


Graph: Total funds collected by Political Parties during Lok Sabha elections: in cash, cheque, in kind: 2004, 2009, 2014

Total expenditure incurred by Political Parties: Lok Sabha elections

- During Lok Sabha elections 2004, 2009 and 2014, a total expenditure of **Rs 257.61 crores** was incurred cash (10% of total expenditure) and **Rs 2044.67 crores by cheque** (83% of total expenditure) and Rs 163.79 crores was remaining unpaid at the time of submission of reports.
- **Maximum expenditure of Rs 1587.78 crores was declared by 45 parties during 2014 Lok Sabha elections** but the maximum expenditure in cash was declared during Lok Sabha elections held in 2009 where **Rs 120.21 crores was spent in cash** (18% of total expenditure).

Elections	Total number of Political Parties	Total expenditure incurred by political parties during Lok Sabha Elections, 2004, 2009, 2014 Rs in crores			
		Cash	Cheque	Remaining unpaid	Total expenditure
LS- 2004	38	79.52	114.87	7.65	202.04
LS- 2009	34	120.21	527.38	28.66	676.25
LS- 2014	45	57.88	1402.42	127.48	1587.78
Total	117	Rs 257.61 cr	Rs 2044.67 cr	Rs 163.79 cr	Rs 2466.07 cr



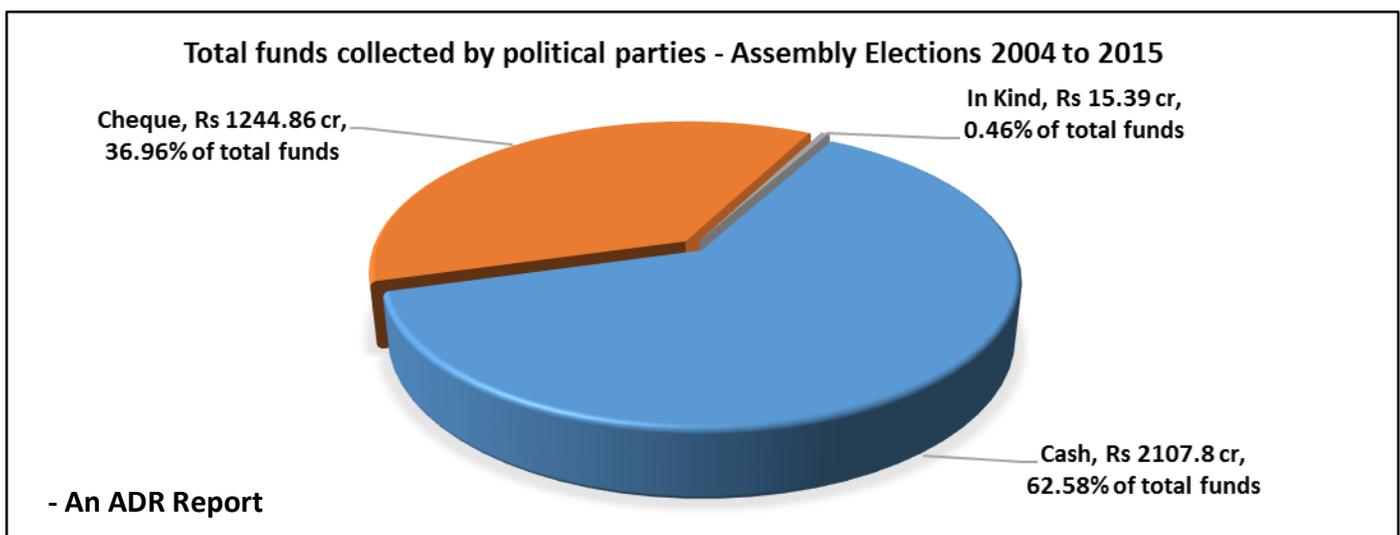
Graph: Total expenditure incurred by Political Parties during Lok Sabha elections: in cash, cheque, remaining unpaid - 2004, 2009, 2014

Total funds collected by Political Parties: Assembly elections

- **Maximum funds** were collected during the **7 Assembly Elections held in 2012** where 13 political parties have declared collecting a **total of Rs 695.28 crores** of which **Rs 370.45 crores was collected in cash** (53% of total funds) and **Rs 324.83 crores was collected by cheque** (47% of total funds).
- The second highest funds were collected during the 9 Assembly elections held in 2013 where 18 parties have declared collecting a total of **Rs 691.60 crores** of which **Rs 492.61 crores was by cash** (71% of total funds) and **Rs 198.87 crores was collected by cheque** (29% of total funds).
- Collectively, during the 71 Assembly elections held between 2004 and 2015, political parties have declared collecting **Rs 2107.80 crores in cash** (63% of total funds) and **Rs 1244.86 crores by cheque** (37% of total funds).

Elections	Total number of Political Parties	Total funds collected by political parties during Assembly Elections, 2004-2015			
		Rs in crores			
		Cash	Cheque	In Kind	Total funds
AE-2004	7	45.70	12.38	0.00	58.08
AE-2005	7	35.81	6.60	0.00	42.42
AE-2006	8	9.61	9.19	0.00	18.80
AE-2007	6	133.89	29.86	0.00	163.75
AE-2008	12	316.29	39.99	0.00	356.28
AE-2009	12	138.74	29.43	0.00	168.17
AE-2010	9	75.01	5.46	14.76	95.23
AE-2011	18	181.69	90.40	0.00	272.09
AE-2012	13	370.45	324.83	0.00	695.28
AE-2013	18	492.605	198.865	0.13	691.6
AE-2014	23	253.381	349.226	0.502	603.109
AE-2015	19	54.62	148.63	0	203.25
Total	152	Rs 2107.80 cr	Rs 1244.86 cr	Rs 15.39 cr	Rs 3368.06 cr

AE – Assembly Election

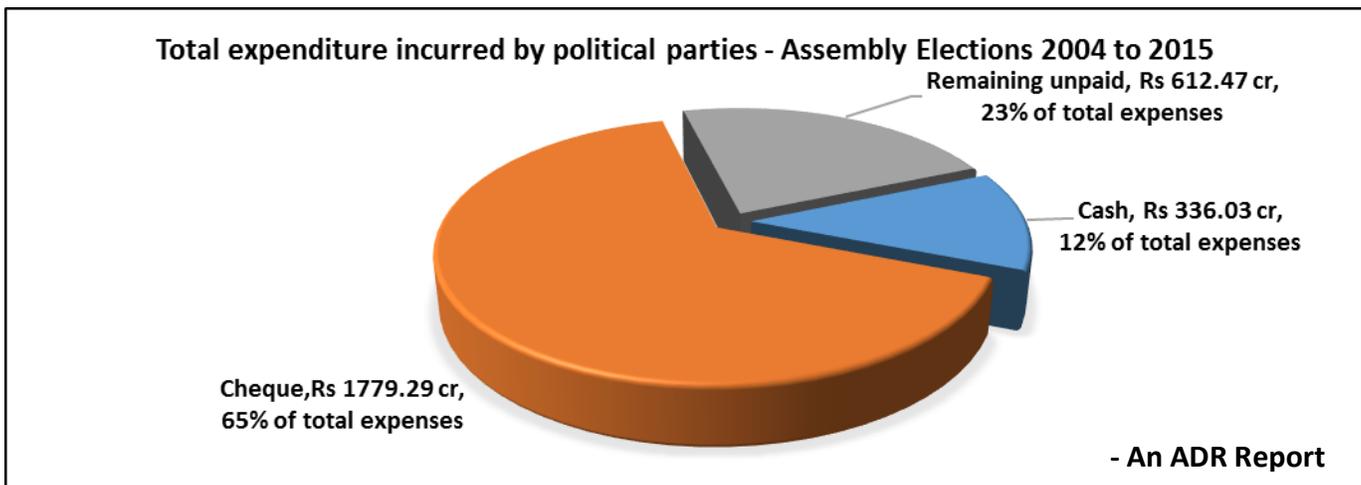


Graph: Total funds collected by Political Parties during Assembly elections: in cash, cheque, in kind: 2004 - 2015

Total expenditure incurred by Political Parties: Assembly elections

- Similar to the maximum amount of funds collected, 13 political parties declared the maximum expenditure of **Rs 753.12 crores during the 7 Assembly elections held in 2012.**
- 13 National and Regional Parties declared spending a total of **Rs 80.23 crores in cash** (11% of total expenses) and **Rs 581.40 crores by cheque** (77% of total expenses) during the 2012 Assembly elections.
- The Second highest expenditure was incurred by 18 political parties during the 9 Assembly elections held in 2013 where they have declared spending a total of Rs 476.88 crores. Rs 29.40 cr was spent by cash (6% of total expenses) and Rs 245.64 cr by cheque (52% of total expenses).
- Between 2004 and 2015, National and Regional Parties spent a total of Rs 2727.79 crores for Assembly elections of which **Rs 336.03 crores or 12% of total expenditure was in cash** and **Rs 1779.29 crores or 65% of the total expenditure was by cheque.**

Elections	Total no of Parties	Total expenditure incurred by political parties during Assembly Elections, 2004-2015 Rs in crores			
		Cash	Cheque	Remaining unpaid	Total expenditure
AE-2004	7	18.84	20.40	0.97	40.21
AE-2005	7	14.62	14.64	2.86	32.11
AE-2006	8	6.32	17.93	0.29	24.53
AE-2007	6	13.18	86.58	14.19	113.95
AE-2008	12	58.36	124.76	15.73	198.85
AE-2009	12	23.84	103.90	6.38	134.12
AE-2010	9	20.63	36.08	9.39	66.11
AE-2011	18	28.10	164.32	22.33	214.75
AE-2012	13	80.23	581.40	91.48	753.12
AE-2013	18	29.395	245.642	201.846	476.883
AE-2014	23	33.028	241.229	172.01	446.267
AE-2015	19	9.4828	142.412	74.995	226.8898
Grand Total	152	Rs 336.03 cr	Rs 1779.29 cr	Rs 612.47 cr	Rs 2727.79 cr

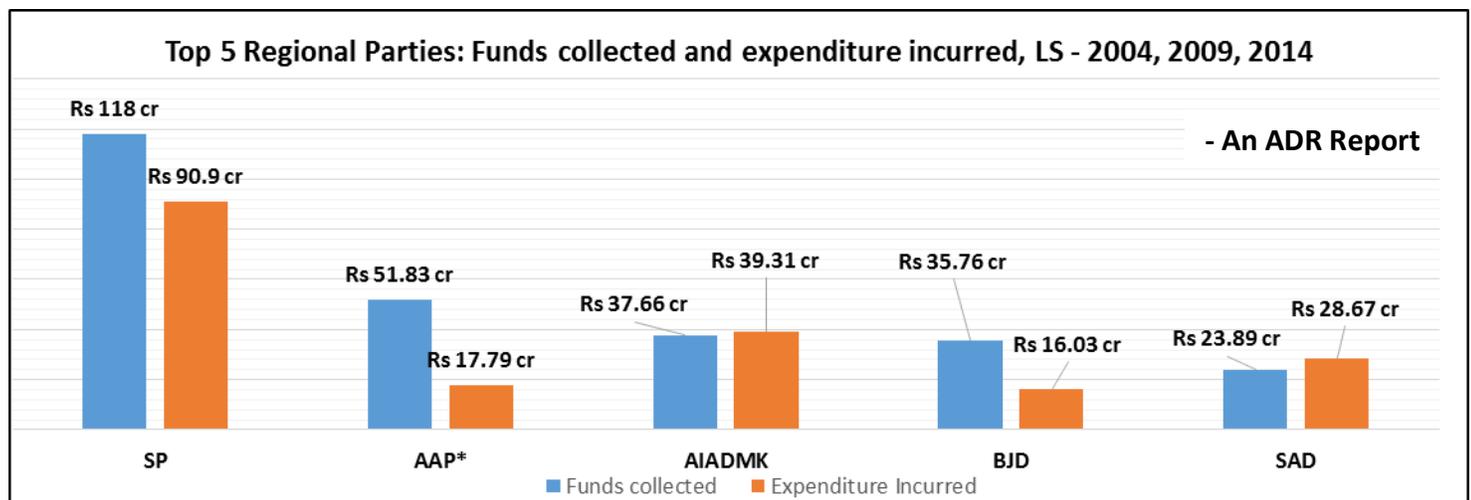


Graph: Total expenditure incurred by Political Parties during Assembly elections: in cash, cheque, remaining unpaid – 2004-2015

Top 5 regional parties which collected maximum funds and incurred maximum expenditure during Lok Sabha elections

- Among all the Regional parties who have submitted their election expenditure statements for the Lok Sabha elections held in 2004, 2009, 2014, the top 5 parties include SP, AAP, AIADMK, BJD and SAD.
- These 5 parties have declared collecting a total of **Rs 267.14 crores** which forms **62% of the total funds declared by all the regional parties collectively**. They have declared collecting **Rs 129.22 crores in cash** (48% of total funds) and **Rs 137.72 crores by cheque** (52% of total funds).
- Despite contesting in only one Lok Sabha election, AAP stands second in the total funds collected by declaring **Rs 51.83 crores**. This forms **19% of total funds collected by all the 5 Regional parties** which contested in **3 Lok Sabha elections**
- Among the top 5 regional parties, SP has declared the maximum expenditure of **Rs 90.09 crores** during the Lok Sabha elections followed by **AIADMK** which had declared incurring an expenditure of **Rs 39.31 crores**.
- For complete break-up of funds collected and expenditure incurred by the top 5 Regional parties during Lok Sabha elections, please refer to **Annexure – 4**.

S. No.	Party	Total funds collected and election expenditures incurred during Lok Sabha elections (During LS elections - 2004, 09,14)							
		Rs in crores							
		Total funds	Cash	Cheque	In Kind	Total expenditure	Cash	Cheque	Remaining unpaid
1	SP	118	90.88	27.12	0	90.09	1.15	79.22	9.72
2	AAP	51.83	2.37	49.26	0.2	17.79	1.79	15.69	0.31
3	AIADMK	37.6624	17.6324	20.03	0	39.31	0.52	12.88	25.91
4	BJD	35.76	4.35	31.41	0	16.03	0.65	14.41	0.97
5	SAD	23.89	13.99	9.9	0	28.6706	0.0306	25.73	2.91
Total		Rs 267.14 crores	Rs 129.22 crores	Rs 137.72 crores	Rs 0.20 crores	Rs 191.89 crores	Rs 4.14 crores	Rs 147.93 crores	Rs 39.82 crores



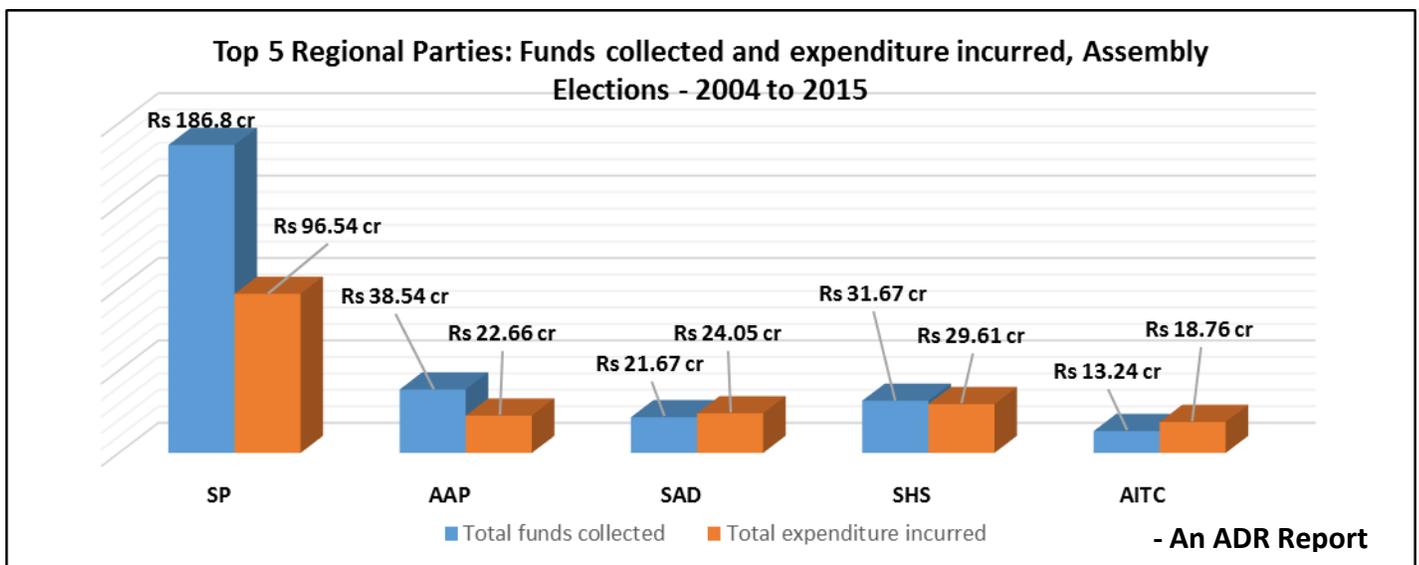
Graph: Top 5 regional parties: Collected Maximum funds and incurred maximum expenditure during Lok Sabha elections, 2004, 2009, 2014

*AAP contested in only 2014 Lok Sabha election while the remaining 4 parties contested in all 3 Lok Sabha elections

Top 5 regional parties which collected maximum funds and incurred maximum expenditure during Assembly elections

- Among all the regional parties which have declared their expenditure during Assembly elections held between 2004 and 2015, the top 5 parties include SP, AAP, SAD, Shiv Sena and AITC.
- The 5 parties have declared a total of **Rs 291.92 crores** as funds collected of which **Rs 112.87 crores** was in cash and **Rs 179.02 crores** was by cheque. This forms **82%** of the total funds declared by all the regional parties collectively.
- **SP** declared collecting a total of **Rs 186.8 crores** while **AAP** comes a distant second with **Rs 38.54 crores** as total funds collected.
- **SP** declared incurring the maximum expenditure of **Rs 96.54 crores** during the Assembly elections followed by **Shiv Sena** with **Rs 29.605 crores**.
- For complete break-up of funds collected and expenditure incurred by the top 5 Regional parties during Assembly elections, please refer to **Annexure – 5**.

S. No.	Party	Total funds collected and election expenditures incurred during Assemblies elections (2004 to 2015)							
		Total funds	Cash	Cheque	In Kind	Total expenditure	Cash	Cheque	Remaining unpaid
1	SP	186.8	90.42	96.38	0	96.54	4.88	73.87	17.79
2	AAP	38.54	1.85	36.66	0.03	22.66	1.68	17.28	3.7
3	SAD	21.67	4.55	17.12	0	24.0548	0.0228	22.652	1.38
4	SHS	31.67	3.8	27.87	0	29.605	0.212	23.468	5.925
5	AITC	13.24	12.25	0.99	0	18.76	6.06	6.6	6.1
Total		Rs 291.92 crores	Rs 112.87 crores	Rs 179.02 crores	Rs 0.03 crores	Rs 191.62 crores	Rs 12.85 crores	Rs 143.87 crores	Rs 34.90 crores



Graph: Top 5 regional parties: Collected Maximum funds and Incurred maximum expenditure during Assembly elections, 2004 -2015

Details of parties defaulting in submission of expenditure statements – 2011 to 2015

Based on the information on the website of the ECI, ADR analysed availability of statements of election expenditure incurred by political parties during **Assembly Elections held between 2011 and 2015**. For a complete list of recognised parties which contested in the Assembly elections and the status of their submission with the ECI, as on date, kindly refer to the **Annexure - 6**.

- Among the National Parties, **election expenditure statements of NCP and CPI** are not available for **2 Assembly elections** held between 2011 and 2015.
- The availability of election expenditure statements of Regional Parties is equally worrisome where the statements of **JD(U)** is unavailable for **15 Assembly Elections** while that of **SP** is unavailable for **11 Assembly Elections**.
- The election expenditure statements of **LJP and Shiv Sena** are **unavailable for 9 Assembly Elections** held between 2011 and 2015.
- A maximum of 11 parties have defaulted in filing their expenditure statements during the Uttar Pradesh Assembly elections held in 2012 followed by 8 defaulting parties during Assembly elections held in Uttarakhand (2012) and Andhra Pradesh (2014).

Party code	No. of Assembly elections for which the expenditure statements are unavailable	Total no. of Assembly elections contested	Party code	No. of Assembly elections for which the expenditure statements are unavailable	Total no. of Assembly elections contested
CPI	2	61	JVM	1	4
NCP	2	60	KC(AMG)	1	1
AAP	1	5	LJP	9	41
AIADMK	1	10	MPC	1	2
AIFB	4	41	MUL	3	17
AITC	7	18	NPF	2	3
AJSU	1	2	NPP	5	5
DMDK	1	1	PMK	1	4
DMK	1	4	RJD	8	32
INLD	1	7	RSP	8	29
IUML	5	8	SHS	9	35
JD(S)	9	40	SP	11	50
JD(U)	15	53	UDP	1	2
JKNPP	8	15	UKKD	2	3
JMM	5	17	ZNP	1	2
JPSS	1	2			

Observations & Recommendations of ADR

Observations

- The election expenditure statements submitted by 49 Regional parties for **Lok Sabha elections** were analysed:
 - 20 of the 49 parties had spent more than the total funds collected during the election period.
 - A total of Rs 58.56 crores was 'remaining unpaid' by 20 parties at the time of submission of expenditure statements. It is to be noted that 12 parties out of the 20 had declared expenditure more than the funds collected.
 - Maximum expenditure 'remaining unpaid' was declared by AIADMK, Rs 25.91 cr (66% of total exp); SP, Rs 9.72 cr (11% of total exp) and YSR-C, Rs 5.6 cr (31% of total exp).
- The election expenditure statements submitted by 37 Regional parties for **Assembly elections** were analysed:
 - 19 parties declared spending more than the funds collected

- Rs 44.66 cr was the amount declared as 'remaining unpaid' by 16 parties. 10 of those who had declared amounts remaining unpaid had incurred expenditure more than the funds collected.
- Maximum expenditure 'remaining unpaid' was declared by SP, Rs 17.79 cr (18% of total exp); AITC Rs 6.1 cr (33% of total exp); Shiv Sena, Rs 5.925 cr (20% of total exp)
- ECI had issued transparency guidelines and made it effective from 1st Oct, 2014 stating that donors making cash donations made to parties would not get tax exemption. It also stated that parties should not make any expenditure above Rs 20,000 in cash (with exceptions). **But there is no provision in the expenditure format for the parties to declare expenses below or above Rs 20,000.** Hence, it appears that the parties are taking advantage of the opacity in the expenditure statements to collect and spend funds in cash.
- Since the guidelines became effective, Haryana, Maharashtra, Jharkhand, Bihar and Delhi have gone for polls.
 - During Bihar and Delhi assembly elections:
 - 9 parties have submitted their expenditure statements: AAP, JDU, LJP, RJD, RLD, RLSP, SP, SAD, Shiv Sena
 - **A total of Rs 9.39 crores was collected by them in cash** (23% of total funds)
 - **Rs 2.30 cr spent in cash** (5.1% of total expenditure).
 - A total of Rs 7.46 cr was remaining unpaid at the time of submission of the expenditure statements

Recommendations

- It must be made mandatory for all political parties to submit their statements of expenditure in the format given by the ECI, within the prescribed time limit. Political parties not submitting on time or in the prescribed format should be heavily penalized.
- The **details of all donors** who contribute to national and regional parties exclusively for their election campaigns must be declared in the public domain irrespective of the amount donated.
- A **format similar to the donations report along with the date of donations**, submitted to the ECI on an annual basis, has to be prescribed for the expenditure statement so as to **bring in more transparency in the finances of the political parties especially during elections** when it is said that black money plays a major role.
- Similar to the shadow observers of the ECI for monitoring expenditure of candidates, there must also be **observers for monitoring the expenditure of political parties.**
- While it is crucial for political parties to submit their expenditure statements for greater financial transparency, it is equally important to file the same within the time limit set by the ECI and endorsed by the Supreme Court of India. The National and Regional Parties should, ideally, lead by example by filing complete and correct statements of expenditure to the ECI well in time for public scrutiny so as to encourage financial transparency.

Contact Details

Media and Journalist
Helpline

+91 80103 94248
Email: adr@adrindia.org

Maj Gen Anil Verma (Retd)
Head

National Election Watch
Association for Democratic
Reforms
+91 8826479910
anilverma@adrindia.org

Prof Jagdeep Chhokar
IIM Ahmedabad (Retd)

Founder Member National Election
Watch, Association for Democratic
Reforms
+919999620944
jchhokar@gmail.com

Prof Trilochan Sastry
IIM Bangalore

Founder Member,
National Election Watch,
Association for Democratic Reforms
+919448353285,
trilochans@iimb.ernet.in

Annexure - 1

Party name and corresponding code

Party Name	Party Code
National Parties	
Bharatiya Janata Partt	BJP
Bahujan Samaj Party	BSP
Communist Party of India	CPI
Communist Party of India (Marxist)	CPM
Indian National Congress	INC
Nationalist Congress Party	NCP
Regional Parties	
Aam Aadmi Party	AAP
Arunachal Congress	AC
Asom Gana Parishad	AGP
All India Anna dravida Munnetra Kazhagam	AIADMK
All India Forward Block	AIFB
All India Majlis-e-Ittehadul Muslimeen	AIMIM
All India NR Congress	AINRC
All India Trinamool Congress	AITC
All India United Democratic Front	AIUDF
All Jharkhand Students' Union Party	AJSU
Biju Janata Dal	BJD
Bodo People's Front	BPF
Communist Party of India (Marxist-Leninist) (Liberation)	CPI(ML)(L)
Desiya Murpokku Dravida Khazhagam	DMDK
Dravida Munnetra Kazhagam	DMK
Federal Party of Manipur	FPM
Hill State People's Democratic Party	HSPDP
Indian National Lok Dal	INLD
Indigenous Nationalist Party of Twipra	INPT
Indian Union Muslim League	IUML/ MUL
Janata Dal Secular	JDS
Janata Dal United	JDU
Jammu Kashmir National Conference	JKNC
Jammu Kashmir National Panthers' Party	JKNPP
Jammu Kashmir People's Democratic Party	JKPDP
Jharkhand Mukti Morcha	JMM
Janadhipathya Samrakshana Samithi	JPSS

Party Name	Party Code
Jharkhand Vikas Morcha - Prajatantrik	JVM-P
Kerala Congress	KEC
Kerala Congress (Anti-Merger Group)	KEC (AMG)
Kerala Congress (Merger)	KEC(M)
Lok Jan Shakti Party	LJP
Maharashtrawadi Gomantak	MAG
Marumalarchi Dravida Munnetra Kazhagam	MDMK
Meghalaya Democratic Party	MDP
Mizo National Front	MNF
Manipur Peoples' Party	MPP
Naga People's Front	NPF
National People's Party	NPP
Pattali Makkal Katchi	PMK
Rashtriya Janata Dal	RJD
Rashtriya Lok Dal	RLD
Rashtriya Lok Samata Party	RLSP
Revolutionary Socialist Party	RSP
Shiromani Akali Dal	SAD
Shiromani Akali Dal (Simranjit Singh Mann)	SAD(M)
Sikkim Democratic Front	SDF
Shiv Sena	SHS
Samajwadi Party	SP
Telugu Desam Party	TDP
Telangana Rashtra Samiti	TRS
United Democratic Party	UDP
United Goans Democratic Party	UGDP
Uttarakhand Kranti Dal	UKKD
Zoram Nationalist Party	ZNP

Annexure - 2

States in which Assembly elections were held: Year-wise

Elections	Election Years											
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Assembly Elections	Andhra Pradesh	Bihar (FEB)	Assam	Goa	Madhya Pradesh	Sikkim	Bihar	Assam	Goa	Chhattisgarh	Andhra Pradesh and Telangana	Bihar
	Karnataka	Bihar (OCT)	West Bengal	Gujarat	NCT of Delhi	Andhra Pradesh		West Bengal	Gujarat	Madhya Pradesh	Odisha	Delhi
	Odisha	Haryana	Tamil Nadu	Himachal Pradesh	Karnataka	Odisha		Tamil Nadu	Himachal Pradesh	Rajasthan	Sikkim	
	Sikkim	Jharkhand	Puducherry	Punjab	Meghalaya	Jharkhand		Puducherry	Punjab	NCT Delhi	Maharashtra	
	Maharashtra		Kerala	Uttarakhand	Nagaland	Maharashtra		Kerala	Uttarakhand	Mizoram	Haryana	
	Arunachal Pradesh			Manipur	Tripura	Haryana			Manipur	Karnataka	Jharkhand	
	.			Uttar Pradesh	Rajasthan	Arunachal Pradesh			Uttar Pradesh	Meghalaya	Jammu & Kashmir	
	.				Jammu & Kashmir					Nagaland	Arunachal Pradesh	
	.				Chhattisgarh					Tripura		
	.				Mizoram							
Lok Sabha	Yes					Yes					Yes	

Table 1: States in which Assembly elections were held; year-wise

Annexure – 3(a)

Details of elections contested by National Parties: Year-wise

Election Years	BJP	BSP	CPI	CPM	INC	NCP
2004	6 AE, 1 LS	4 AE, 1 LS	4 AE, 1 LS	5 AE, 1 LS	6 AE, 1 LS	2 AE, 1 LS
2005	4 AE					
2006	5 AE	4 AE	5 AE	5 AE	5 AE	4 AE
2007	7 AE	5 AE	7 AE	7 AE	7 AE	7 AE
2008	10 AE	6 AE	8 AE	7 AE	10 AE	9 AE
2009	7 AE, 1 LS	5 AE, 1 LS	5 AE, 1 LS	6 AE, 1 LS	7 AE, 1 LS	6 AE, 1 LS
2010	1 AE					
2011	5 AE	4 AE	5 AE	5 AE	5 AE	3 AE
2012	7 AE	5 AE	7 AE	7 AE	7 AE	7 AE
2013	9 AE	5 AE	7 AE	6 AE	9 AE	9 AE
2014	8 AE, 1 LS	6 AE, 1 LS	6 AE, 1 LS	6 AE, 1 LS	8 AE, 1 LS	6 AE, 1 LS
2015	2 AE					
Total Elections contested	71 Assembly Elections, 3 LS elections	51 Assembly Elections, 3 LS elections	61 Assembly Elections, 3 LS elections	61 Assembly Elections, 3 LS elections	71 Assembly Elections, 3 LS elections	60 Assembly Elections, 3 LS elections

Table 2(a): Details of elections contested by National Parties between 2004 and 2015; year-wise

AE – Assembly Election; LS – Lok Sabha election

Annexure – 3(b)

Details of elections contested by Regional Parties: Year-wise

Political Parties	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total elections contested
AAP	-	-	-	-	-	-	-	-	-	-	4 AE, 1 LS	1 AE	5 AE, 1 LS
AC	1 AE, 1 LS	-	-	-	-	1 LS	-	-	-	-	-	-	1 AE, 1 LS
AGP	1 LS	-	1 AE	-	-	1 LS	-	1 AE	-	-	1 LS	-	2 AE, 3 LS
AIADMK	1 AE, 1 LS	-	3 AE	-	1 AE	1 AE, 1 LS	-	3 AE	-	1 AE	1 LS	-	10 AE, 3 LS
AIFB	3 AE, 1 LS	4 AE	3 AE	4 AE	6 AE	4 AE, 1 LS	1 AE	3 AE	1 AE	4 AE	6 AE, 1 LS	2 AE	41 AE, 3 LS
AIMIM	-	-	-	-	-	-	-	-	-	-	1 AE	1 AE	2 AE
AINRC	-	-	-	-	-	-	-	-	-	-	1 LS	-	1 LS

Political Parties	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total elections contested
AITC	1 AE, 1 LS	1 AE	1 AE	-	1 AE	3 AE, 1 LS	-	2 AE	5 AE	-	3 AE, 1 LS	-	18 AE, 3 LS
AIUDF	-	-	-	-	-	1 AE	-	1 AE	-	-	1 LS	-	2 AE, 1 LS
AJSU	-	-	-	-	-	-	-	-	-	-	2 AE, 1 LS	-	2 AE, 1 LS
AUDF	-	-	-	-	-	1 AE, 1 LS	-	-	-	-	-	-	1 AE, 1 LS
BJD	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	3 AE, 3 LS
BPF	-	-	-	-	-	-	-	1 AE	-	-	1 LS	-	1 AE, 1 LS
CPI(ML)(L)	3 AE, 1 LS	4 AE	5 AE	4 AE	6 AE	-	-	-	-	-	-	-	22 AE, 1 LS
DMDK	-	-	-	-	-	-	-	-	-	1 AE	1 LS	-	1 AE, 1 LS
DMK	1 LS	-	2 AE	-	-	1 LS	-	2 AE	-	-	1 LS	-	4 AE, 3 LS
FPM	1 LS	-	-	-	-	-	-	-	-	-	-	-	1 LS
HJC-BL	-	-	-	-	-	1 AE	-	-	-	-	1 AE, 1 LS	-	2 AE, 1 LS
HSPDP	-	-	-	-	-	-	-	-	-	1 AE	-	-	1 AE
INLD	1 LS	1 AE	-	-	1 AE	1 AE, 1 LS	-	-	-	1 AE	2 AE, 1 LS	1 AE	7 AE, 3 LS
INPT	1 AE	-	-	-	1 AE	-	-	-	-	-	-	-	2 AE
IUML	-	-	-	-	-	-	-	-	-	4 AE	2 AE, 1 LS	2 AE	8 AE, 1 LS
JDS	4 AE, 1 LS	4 AE	5 AE	5 AE	6 AE	4 AE, 1 LS	1 AE	2 AE	3 AE	3 AE	3 AE, 1 LS	-	40 AE, 3 LS
JDU	3 AE, 1 LS	4 AE	4 AE	4 AE	9 AE	5 AE, 1 LS	1 AE	5 AE	5 AE	7 AE	4 AE, 1 LS	2 AE	53 AE, 3 LS
JKNC	1 LS	-	-	-	1 AE	1 LS	-	-	-	-	1 AE, 1 LS	-	2 AE, 3 LS
JKNPP	1 LS	1 AE	-	1 AE	2 AE	1 AE, 1 LS	1 AE	2 AE	2 AE	2 AE	1 AE, 1 LS	2 AE	15 AE, 3 LS
JKPDP	1 LS	-	-	-	1 AE	1 LS	-	-	-	-	1 AE, 1 LS	-	2 AE, 3 LS
JMM	1 AE, 1 LS	2 AE	1 AE	-	2 AE	3 AE, 1 LS	1 AE	3 AE	1 AE	-	2 AE, 1 LS	1 AE	17 AE, 3 LS
JPSS	-	-	1 AE	-	-	-	-	1 AE	-	-	-	-	2 AE
JVM-P	-	-	-	-	-	1 AE	1 AE	1 AE	-	-	1 AE, 1 LS	-	4 AE, 1 LS
KC (AMG)	-	-	-	-	-	-	-	1 AE	-	-	-	-	1 AE
KEC	1 LS	-	1 AE	-	-	1 LS	-	-	-	-	-	-	1 AE, 2 LS
KEC (M)	1 LS	-	1 AE	-	-	1 LS	-	1 AE	-	-	1 LS	-	2 AE, 3 LS
LJP	-	1 AE	5 AE	6 AE	4 AE	4 AE, 1 LS	1 AE	4 AE	6 AE	6 AE	3 AE, 1 LS	1 AE	41 AE, 2 LS
MAG	1 LS	-	1 AE	1 AE	-	1 LS	-	-	1 AE	-	-	-	3 AE, 2 LS
MDMK	1 LS	-	2 AE	-	-	1 LS	-	-	-	-	-	-	2 AE, 2 LS

Political Parties	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total elections contested
MDP	-	-	-	-	1 AE	-	-	-	-	-	-	-	1 AE
MNF	1 LS	-	-	-	1 AE	-	-	-	-	2 AE	-	-	3 AE, 1 LS
MNS	-	-	-	-	-	-	-	-	-	-	1 AE, 1 LS	-	1 AE, 1 LS
MPC	-	-	-	-	1 AE	-	-	-	-	1 AE	-	-	1 AE
MPP	1 LS	-	-	1 AE	-	1 LS	-	-	1 AE	-	-	1 AE	3 AE, 2 LS
MUL	3 AE, 1 LS	2 AE	3 AE	2 AE	-	2 AE, 1 LS	1 AE	2 AE	2 AE	-	-	-	17 AE, 2 LS
NPF	1 LS	-	-	-	1 AE	1 LS	-	-	1 AE	1 AE	1 AE, 1 LS	-	4 AE, 3 LS
NPP	-	-	-	-	-	-	-	-	1 AE	4 AE	1 LS	-	5 AE, 1 LS
PMK	1 LS	-	2 AE	-	-	1 LS	-	2 AE	-	-	1 LS	-	4 AE, 3 LS
PPA	-	-	-	-	-	-	-	-	-	-	1 AE, 1 LS	-	1 AE, 1 LS
RJD	2 AE, 1 LS	4 AE	3 AE	5 AE	4 AE	4 AE, 1 LS	1 AE	2 AE	2 AE	1 AE	2 AE, 1 LS	2 AE	32 AE, 3 LS
RLD	1 AE, 1 LS	4 AE	1 AE	2 AE	5 AE	1 AE	-	-	3 AE	2 AE	2 AE, 1 LS	1 AE	22 AE, 2 LS
RLSP	-	-	-	-	-	-	-	-	-	-	-	1 AE	1 AE
RSP	1 AE, 1 LS	1 AE	5 AE	3 AE	3 AE	4 AE, 1 LS	1 AE	5 AE	-	2 AE	3 AE, 1 LS	1 AE	29 AE, 3 LS
SAD	1 LS	-	-	1 AE	-	1 AE, 1 LS	-	-	1 AE	1 AE	1 AE, 1 LS	1 AE	6 AE, 3 LS
SAD(M)	1 LS	-	-	5 AE	-	-	-	-	-	-	-	-	5 AE, 1 LS
SDF	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	3 AE, 3 LS
SGF	-	-	-	-	-	1 AE	-	-	-	-	-	-	1 AE
SHS	3 AE, 1 LS	3 AE	1 AE	-	5 AE	3 AE, 1 LS	1 AE	3 AE	6 AE	5 AE	3 AE, 1 LS	2 AE	35 AE, 3 LS
SP	4 AE, 1 LS	4 AE	3 AE	6 AE	6 AE	5 AE, 1 LS	1 AE	2 AE	5 AE	7 AE	6 AE, 1 LS	1 AE	50 AE, 3 LS
TDP	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	-	-	-	1 AE, 1 LS	-	3 AE, 3 LS
TRS	-	-	-	-	-	1 AE, 1 LS	-	-	-	1 AE	1 AE, 1 LS	-	3 AE, 2 LS
UDP	-	-	-	1 AE	1 AE	1 LS	-	-	-	-	1 LS	-	2 AE, 2 LS
UGDP	1 LS	1 AE	-	1 AE	-	-	-	-	-	-	-	-	2 AE, 1 LS
UKKD	1 LS	-	-	1 AE	-	1 LS	-	-	2 AE	-	-	-	3 AE, 2 LS
ZNP	-	-	-	-	1 AE	-	-	-	-	1 AE	-	-	2 AE

Table 2(b): Details of elections contested by Regional Parties between 2004 and 2015; year-wise

AE – Assembly Election; LS – Lok Sabha election

Annexure - 4

Total funds collected and expenditure incurred by Regional Parties during Lok Sabha elections – Top 5

Total funds collected and expenditure incurred during Lok Sabha elections (Rs in crores)									
Regional Parties	Lok Sabha	Total funds	Cash	Cheque	In Kind	Total expenditure	Cash	Cheque	Remaining unpaid
SP	LS- 2014	86.84	62.38	24.46	0	64.12	0.71	57.52	5.89
	LS- 2009	23.84	21.81	2.03	0	22.37	0.24	18.3	3.83
	LS- 2004	7.32	6.69	0.63	0	3.6	0.2	3.4	0
AAP	LS- 2014	51.83	2.37	49.26	0.2	17.79	1.79	15.69	0.31
AIADMK	LS- 2014	14.3924	0.0024	14.39	0	32.19	0.48	7.69	24.02
	LS- 2009	17.97	12.48	5.49	0	4.1	0.03	2.8	1.27
	LS- 2004	5.3	5.15	0.15	0	3.02	0.01	2.39	0.62
BJD	LS- 2014	27.45	1.6	25.85	0	10.49	0.01	9.74	0.74
	LS- 2009	7.53	2.53	5	0	4.82	0.42	4.4	0
	LS- 2004	0.78	0.22	0.56	0	0.72	0.22	0.27	0.23
SAD	LS- 2014	17.8	12.62	5.18	0	18.460	0	16.42	2.04
	LS- 2009	5.91	1.36	4.55	0	9.25	0.03	8.71	0.51
	LS- 2004	0.18	0.01	0.17	0	0.9606	0.0006	0.6	0.36

Annexure - 5

Total funds collected and expenditure incurred by Regional Parties during Assembly elections – Top 5

Total funds collected and expenditure incurred during Assembly elections – 2004-2015 (Rs in crores)									
Regional Political Parties	Assemblies Elections	Total funds	Cash	Cheque	In Kind	Total expenditure	Cash	Cheque	Remaining unpaid
SP	AE- 2012	104.61	23.79	80.82	0	24.34	2.43	20.06	1.85
	AE- 2014	40.35	35.05	5.3	0	12.92	0.96	11.96	0
	AE- 2007	29.23	28.37	0.86	0	41.86	0.35	28.04	13.47
	AE- 2015	12.37	3.08	9.29	0	15.66	0.94	12.87	1.85
	AE- 2010	0.24	0.13	0.11	0	1.76	0.2	0.94	0.62
AAP	AE- 2013	20.75	0.68	20.04	0.03	9.05	1.04	7.93	0.08
	AE- 2015	17.79	1.17	16.62	0	13.61	0.64	9.35	3.62
SAD	AE- 2012	20.42	3.38	17.04	0	23.56	0.02	22.16	1.38
	AE- 2013	0.61	0.58	0.03	0	0.49	0	0.49	0
	AE- 2014	0.52	0.49	0.03	0	0.004	0.002	0.002	0
	AE- 2015	0.12	0.1	0.02	0	0.00	0.0008	0	0
OSHS	AE- 2014	17.97	1.17	16.8	0	17.94	0	13.58	4.36
	AE- 2009	7.47	0.02	7.45	0	6.26	0.04	5.1	1.12
	AE- 2004	3.31	1.39	1.92	0	5.32	0.15	4.73	0.44
	AE- 2015	2.35	0.92	1.43	0	0.075	0.02	0.05	0.005
	AE- 2013	0.57	0.3	0.27	0	0.01	0.002	0.008	0
AITC	AE- 2011	12.74	11.76	0.98	0	18.46	5.76	6.6	6.1
	AE- 2006	0.5	0.49	0.01	0	0.30	0.3	0	0

List of contesting recognised parties and their status of submission of expenditure reports

Assembly elections – 2015			
Bihar		Delhi	
Availability of Expenditure statements of Contesting recognised parties		Availability of Expenditure statements of Contesting recognised parties	
Not available	Available	Not available	Available
J&KNPP	BSP	JDU	BJP
JMM	BJP	JKNPP	INC
NPP	CPI	RJD	SHS
	CPM		INLD
	INC		RLD
	NCP		BSP
	AIFB		CPI
	AIMIM		CPI(M)
	JDU		NCP
	IUML		AAP
	LJP		AIFB
	RJD		IUML
	RLSP		SAD
	RSP		
	SHS		
	SP		

Assembly elections – 2014															
Andhra Pradesh		Arunachal Pradesh		Odisha		Sikkim		Maharashtra		Haryana		Jharkhand		Jammu & Kashmir	
Not available	Available	Not available	Available	Not available	Available	Not available	Available	Not available	Available	Not available	Available	Not available	Available	Not Available	Available
NCP	BJP	AAP	BJP	AITC	BJP	AITC	BJP	JD(S)	BSP		BSP	RJD	BSP	JD(S)	JKNC
IUML	BSP	NPF	INC	AJSUP	BSP		INC		BJP		CPI(M)	RSP	BJP		JKPDP
JD(S)	CPI		NCP	RSP	CPI		SDF		CPI		HJC(BL)		INC		INC
JD(U)	CPI(M)		PPA	SP	CPI(M)				INC		BJP		AJSUP		BJP
LJP	INC				INC				CPI(M)		CPI		RJD		JKNPP
RJD	TDP				NCP				NCP		INC		AIFB		AIFB
RSP	TRS				BJD				MNS		INLD		AITC		LJP
SP	AAP				AAP				SHS		AIFB		LJP		BSP
	AIFB				AIFB				AIFB		JD(U)		SHS		NCP
	RLD				JMM				AIMIM		SAD		CPI		SP
	SHS								IUML		SP		CPI(M)		CPI
									RLD				NCP		CPI(M)
									JD(U)				JMM		JD(U)
									SP				JVM		
													IUML		
													JD(U)		
													SP		

Assembly Elections 2013															
Karnataka		Nagaland		Meghalaya		Tripura		Chhattisgarh		Madhya Pradesh		Mizoram		Rajasthan	
Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available
NCP	BJP	RJD	BJP	CPI	BJP	CPI	BJP	JD(U)	BJP	AIFB	BJP	MPC	BJP	AIFB	BJP
JD(S)	BSP		INC	UDP	INC		CPI(M)	NPP	BSP	IUML	BSP	ZNP	INC	IUML	BSP
ADMK	CPI		NCP		NCP		INC	SP	CPI(M)	JD(S)	CPI		NCP	JD(S)	CPI
IUML	CPI(M)		NPF		HSPDP		NCP		CPI	JD(U)	CPI(M)		MNF	JD(U)	CPI(M)
SHS	INC		JD(U)		LJP		AIFB		INC	NPP	INC			JKNPP	INC

Assembly Elections 2013															
Karnataka		Nagaland		Meghalaya		Tripura		Chhattisgarh		Madhya Pradesh		Mizoram		Rajasthan	
Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available
SP	JD(U)				NPP		JD(U)		NCP	RSP	NCP			NPP	NCP
	LJP				SP		RSP		LJP	SP	LJP			SP	LJP
							SP		SHS		SHS				RLD
															SHS

Assembly Elections 2013	
Delhi	
Not Available	Available
AIFB	BJP
DMDK	BSP
INLD	CPI
IUML	CPI(M)
JD(U)	INC
JKNPP	NCP
SP	LJP
	RLD
	SAD
	SHS
	AAP

Assembly Elections 2012													
Goa		Manipur		Punjab		Uttarakhand		Uttar Pradesh		Gujarat		Himachal Pradesh	
Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available
AITC	BJP	NPP	BJP	LJP	BJP	UKDP	BJP	AIFB	BJP	SP	BJP	AITC	BJP
JD(U)	CPI	AITC	CPI	RJD	BSP	AITC	BSP	AITC	BSP		BSP	SP	BSP
SHS	CPI(M)	JD(S)	CPI(M)	SHS	CPI	JD(S)	CPI	JD(S)	CPI		CPI	CPI	CPI
	INC	JD(U)	INC		CPI(M)	JD(U)	CPI(M)	JD(U)	CPI(M)		CPI(M)	CPI(M)	CPI(M)
	NCP	LJP	NCP		INC	JKNPP	INC	JKNPP	INC		INC	INC	INC
	MAG	NPF	MPP		NCP	LJP	NCP	JMM	NCP		NCP	NCP	NCP
	SP	SHS			SAD	MUL	RLD	LJP	RLD		JD(U)	JD(U)	LJP
						SHS	SP	MUL	SP		LJP	LJP	SHS
								RJD		RLD	RLD		
								SHS					
								UKDP					

Assembly Elections 2011									
Assam		Kerala		Tamil Nadu		West Bengal		Puducherry	
Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available	Not Available	Available
JD(U)	BJP	JPSS	BJP	JD(U)	BJP	JD(U)	BJP	DMK	BJP
JKNPP	CPI	KC(AMG)	BSP	JKNPP	BSP	JMM	BSP	JD(U)	BSP
JMM	CPI(M)	JD(U)	CPI	JMM	CPI	JVM	CPI	LJP	CPI
RSP	INC	LJP	CPI(M)	LJP	CPI(M)	LJP	CPI(M)	PMK	CPI(M)
SHS	NCP	RSP	INC	RJD	INC	MUL	INC	RSP	INC
SP	AGP	SHS	NCP	RSP	AIADMK	RJD	NCP		AIADMK
	AIUDF		JD(S)	SHS	DMK	SP	AIFB		
	BOPF		KEC(M)		PMK		AITC		
	AIFB		MUL		AIFB		RSP		
	AITC		AIADMK				JD(S)		